

Substitute form 1449/PTO		<b>Complete if Known</b>	
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (Use as many sheets as necessary)		Application Number	10/693,301
		Filing Date	October 24, 2003
		First Named Inventor	Gary K. SCHWARTZ
		Art Unit	
		Examiner Name	
Sheet 1	of 1	Attorney Docket Number	702-A-US

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
PCW	1	L. Zhang, L. Yank, X. Zheng, "A study of helicobacterium pylori and prevention and treatment of chronic atrophic gastritis", J. Tradit. Chin. Med., 17:3-9, 1997.	
PCW	2	L.Q. Yang, M. Singh, E.H. Yap, G.C. Ng, H.X. Xu, and K.Y. Sim, "In vitro response of Blastocystis hominis against traditional Chinese medicine", J. Ethnopharmacol 55: 35-42, 1996.	
PCW	3	S.G. Franzblau and C. Cross, "Comparative in vitro antimicrobial activity of Chinese medicinal herbs. J. Ethnopharmacol", 15: 279-288, 1986.	
PCW	4	L.C. Song, K.Z. Chen, J.Y. Zhu, "The effect of Coptis chinensis on lipid peroxidation and antioxidases activity in rats", Chung Kuo Chung Hsi I Chieh Ho Tsa Chih (1992 Jul) 12(7):421-3, 390.	
PCW	5	M. Umeda, S. Amagaya and Y. Ogiwara, "Effects of certain herbal medicines on the biotransformation of arachidonic acid: a new pharmacological testing method using serum", J Ethnopharmacol (1988 May-Jun) 23(1):91-8.	
PCW	6	G.D. Wang, Y.M. Zhang and X.Y. Xiong, "Clinical and experimental study of burns treated locally with Chinese herbs", Chung Hsi I Chieh Ho Tsa Chih (1991 Dec) 11(12):727-9, 709.	
PCW	7	W.M. Huang, J. Yan, and J. Xu, "Clinical and experimental study on inhibitory effect of sanhuang mixture on platelet aggregation", Chung Kuo Chung Hsi I Chieh Ho Tsa Chih (1995 Aug) 15(8):465-7	
PCW	8	Y. Kobayashi, Y. Yamashita, N. Fuji, K. Takaboshi, T. Kawakami, M. Kamwamura, T. Mizukami, and H. Nakano, "Inhibitors of DNA topoisomerase I and II isolated from the Coptis rhizomes". Planta Med 61: 414-418, 1995	
PCW	9	X.P. Fang, T.Z. Wang, H. Shang, H. Shuai, D. Li, and C.K. Xie, "Quantitative determination of 5 alkaloids in plants of coptis from china". Chung Kuo Yao Tsa chih 14: 33-35, 1989	
PCW	10	C.W. Chi, Y.F. Chang, T.W. Cjao, S.H. Chiang, F.K. P'eng, W.Y. Lui, and T.Y. Liu, "Flowcytometric analysis of the effect of berberine on the expression of glucocorticoid receptors in human hepatoma HepG2 cells". Life Sci 54: 2099-2107, 1994	

Examiner Signature	<i>PCW</i>	Date Considered	3/3/06
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.  
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Substitute for form 14-181 TO

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

**(Use as many sheets as necessary)**

Sheet 1

of 2

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Application Number	10/693.301
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Filing Date	October 24, 2003
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First Named Inventor	Gary K. Schwartz
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## Art Unit

Examiner Name \_\_\_\_\_

Attorney Docket Number	702-A-US
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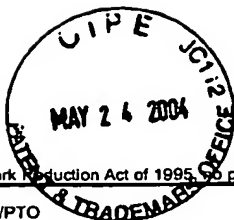
FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup> *Number <sup>4</sup> *Kind Code <sup>5</sup> (if known)				
Pem	1	PCT/CN01/01521	10-10-02	LI, HONGFEN		

Examiner Signature	<i>P. [Signature]</i>	Date Considered	3/20/06
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PTO/SB/088 (04-03)

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STATEMENT BY APPLICANT**

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Sheet 2 of 2

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pen	2	PCT International Search Report for Sloan-Kettering Institute for Cancer Research, Filed on October 25, 2003, Dated May 11, 2004.	
pen	3	Database PGPB on WEST, BASu et al. Treatment for Inflammatory bowel disease (IBD) and related conditions. PGPUB-DN 20020025348; February 26, 2003, Sections 0047-0048 and also claim 2.	
pen	4	Database PGPB on WEST, LU et al. Methods for inhibiting cancer growth, reducing infection and promoting general health with a fermented soy extract. PGPUB-DN 20020182274, December 5, 2002, entire document.	

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